

**TRUST BOARD**

<b>Date of Meeting:</b> 17/01/2012	<b>Agenda Item No:</b> 8.1	<b>Enclosure:</b> 8
<b>Intended Outcome:</b>		
<b>For noting</b> ✓	<b>For information</b>	<b>For decision</b>
<b>Title of Report:</b> Update on registration with the Care Quality Commission (CQC)		
<b>Aims:</b> To update the Board on the Trust's position with compliance with the CQC Regulations and associated Outcomes as at Q3.		
<b>Executive Summary:</b>		
<p>This report summarises for the Board the Trust's current position on compliance with the CQC regulations and outcome (attached at Appendix 1). This is based on compiling information and assessment in three key areas:</p> <ul style="list-style-type: none"> <li> <p><b>Quality Risk Profile (QRP)</b></p> <p>The QRP is a tool that gathers data from a range of national systems and sources, for example mortality rates and collates this into one place. The purpose of the QRP is to outline where risks lie including identifying any areas of potential non compliance with the regulations. The QRPs are issued to Trusts to highlight any areas of concern for the provider to review.</p> <p>The Trust also undertakes a self assessment following the outcomes of the QRP to provide further assurance on the evidence the Trust has in place regarding compliance.</p> </li> <li> <p><b>Provider Compliance Assessments (PCA)</b></p> <p>The CQC requires providers to complete PCAs for each of the regulations. The PCAs are assessments which are made against the requirements of the regulations and specific outcomes. The CQC can request copies of a PCA at any time. The PCA should also be an assessment that has been made by the provider to identify any areas of improvement.</p> </li> <li> <p><b>Internal spot checks</b></p> <p>In addition to the key systems for gathering evidence, it is recognised as good practice for organisations to carry out formal spot checks on specific regulations to ensure the documentation we have in place to support compliance can be evidenced in the care we give to patients.</p> </li> </ul> <p><b>Overview of key areas for consideration or noting:</b></p> <ul style="list-style-type: none"> <li>The compliance team have reviewed the current position with evidence as at Q3 and forecasted an end of year position for each regulation. The majority of regulations are forecasting 'green'.</li> </ul>		

- There are two regulations that the Trust is not forecasting a 'green' position at the end of the year which are described below:
  - Regulation 16 - Safety, availability and suitability of equipment
  - Regulation 23 – Supporting Workers

The Trust is not forecasting green with the above regulations as it is anticipated that action plans will remain in place at the end of Q4 to ensure we address specific issues within the Trust in order to maintain compliance with these regulations.

- The Trust received a follow up unannounced visit to West Cumberland Hospital on 24 November 2011. The follow up visit looked specifically at the actions the Trust had put in place in relation to Outcome 01 - Respecting and involving people who use services, Outcome 05 - Meeting nutritional needs and Outcome 14 – Supporting Workers. The Trust has received positive informal feedback from the visit at West Cumberland Hospital; however final reports from the CQC are awaited.

<b>Specific implications and links to the Trust's Strategic Aims:</b>	
<b>Ensure we provide high quality, safe and effective care for all our patients including meeting essential standards of safety and quality as set out by the CQC</b>	✓
<b>Develop a viable integrated clinical strategy for secondary care services which is sustainable and affordable</b>	
<b>Develop a new healthcare facility in West Cumbria that is fit for the 21st century</b>	
<b>Achieve sustainable financial balance through the delivery of the Trust's internal Cost Improvement Programme, securing a viable contract income from our GP commissioners and contributing to the system wide cost reductions</b>	
<b>To develop and implement a successful merger or acquisition plan that enables the Trust to become part of an existing NHS Foundation Trust</b>	
<b>Recommendations:</b> That the Board NOTES the position against compliance with the CQC regulations as at the end of Q3.	
<b>Prepared by:</b> Ramona Duguid, Acting Director of Governance and Company Secretary	<b>Presented by:</b> Ramona Duguid, Acting Director of Governance and Company Secretary