

**TRUST BOARD**

<b>Date of Meeting:</b> 30/04/13	<b>Agenda Item No:</b> 5.7	<b>Enclosure:</b> 10
<b>Intended Outcome:</b>		
<b>For noting</b>	<b>For information</b>	<b>For decision</b> ✓
<b>Title of Report:</b> Clinical Audit Plan 2013/14		
<b>Aims:</b> To present to the Board the Draft Clinical Audit Plan for 2013/14		
<p><b>Executive Summary:</b> One of the key areas of improvement in Clinical Governance across the Trust has been the need to improve performance in clinical audit.</p> <p>In March 2013 the Trust Board received a report on the delivery of the 2012/13 audit plan, which will be included in the Trust's Quality Account for 2012/13.</p> <p>This report presents the draft Clinical Audit Plan for 2013/14 (attached at Appendix 1). The plan is based on National priorities as well as the Trust priorities. The draft plan was presented to the Clinical Policy Group in April 2013.</p> <p>The Trust Board Safety and Quality Report will be updated to ensure progress updates against delivery of the Clinical Audit Plan can be given on a quarterly basis.</p> <p><b>Overview of key areas for consideration or noting:</b> Final feedback from the Business Units has been requested for 30 April 2013. This will include the areas of NICE guidance which each Clinical Business Unit will audit during 2013/14.</p> <p>The outputs from the Clinical Audits will be reported to the Governance and Quality Committee throughout 2013/14.</p>		
<b>Specific implications and links to the Trust's Strategic Aims:</b>		
<b>We deliver excellent clinical outcomes along closely integrated pathways</b>		✓
<b>We provide excellent patient-centred services</b>		
<b>We deliver excellence in safety, quality and regulatory compliance</b>		
<b>We deliver efficient care and work within budgets</b>		
<p><b>Recommendations:</b> The Board are asked to Approve the Clinical Audit Plan for 2013/14, subject to any final amendments from the Clinical Business Units.</p>		
<b>Prepared by:</b> Ramona Duguid Acting Director of Governance	<b>Presented by:</b> Ramona Duguid Acting Director of Governance	

**CLINICAL AUDIT PLAN 2013/14  
DRAFT 3 – TRUST BOARD  
APPROVAL APRIL 2013**

## CONTENTS AND KEY AREAS OF AUDIT

AUDIT AREA	PAGE
<b>Priority 1 – National Audits</b>	
1.1 Quality Account Audits 2013/14	5
1.2 Clinical Outcome Review Programmes	10
1.3 Everyone Counts	11
1.4 National Patient and Staff Surveys	11
1.5 CQUIN	12
1.6 Other National Audits	13
<b>Priority 2 – Trust Directed Audits</b>	
2.1 Implementation of our Safety and Quality Priorities	14
2.2 Advancing Quality	15
2.3 Nursing Care	16
2.4 Risk Management and Learning from Serious Incidents and Complaints	17
2.5 NHSLA and Health & Safety	19
2.6 CNST	22
<b>Priority 3 – Business Unit Audits including compliance against NICE Guidance</b>	
3.1 NICE Audits	23

## **Introduction**

Clinical audit forms an integral part of the clinical governance framework through which NHS organisations are accountable for continually improving the quality of their services and safeguarding high standards of care by creating an environment in which excellence in clinical care will flourish. Clinical Audit is a quality improvement process that seeks to improve patient care and outcomes through systematic care against explicit criteria and the implementation of change.

All clinical staff (allied health professionals, nursing and midwifery staff, and clinicians) will be expected to provide evidence of their clinical audit activity. For consultants evidence obtained from clinical audit will also form part of their individual portfolio for revalidation. However, it is important that all professional groups undertake audit of their practice in order to assure high standards of care for our patients.

This paper summarises the Clinical Audit Annual Plan for 2013-14 provided by the Clinical Audit department in collaboration with the Business unit leads. The plan is divided into 3 distinct elements:

- Priority 1 audits – these are audits defined by National requirements, including those identified for inclusion in the Quality Account for 2013/14.
- Priority 2 audits – these are audits which have been prioritised by the Trust
- Priority 3 audits – these are audits which have been prioritised by the Clinical Business Units and include audit of compliance with NICE guidance
  
- Recurring Audits - determined by Trust/Business units objectives but undertaken monthly or quarterly during the year

This draft plan is presented to the Clinical Policy Group for approval. It is anticipated that refinements will be made to the plan during April 2013 following CPG review and feedback from the Clinical Business Units and recommended to the Trust Board on 30/04/2013.

## **Monitoring**

Quarterly reports on clinical audit activity against this plan together with outcomes/improvements and risk ratings will be reported to the Governance and Quality Committee. An assurance report will also be provided to the Audit Committee regarding the robustness of the Clinical Audit Function.

## **National Clinical Audits**

The list of national clinical audits for inclusion in the 2013/14 Quality Account is compiled on behalf of the Department of Health by the Healthcare Quality Improvement Partnership (HQIP). There are two elements to this list: 46 National Audits and 5 Clinical Outcome Review Programme projects. The list is subject to change during the year but will be kept under review by Clinical Audit to ensure any amendments are reflected in the Trusts Clinical Audit Plan.

The NHS standard contract requires that organisations providing NHS care must participate in all relevant NCAPOP audits and enquiries. National Clinical Audit and Patient Outcomes Programme (NCAPOP) audits and enquiries are those commissioned by HQIP. If providers do not participate in relevant NCAPOP audits they will be in breach of their contract with their commissioner, therefore any non-participation would need to be agreed with the commissioner. For non-NCAPOP audits on the list, providers must report on the percentage of audits they participated in for which they were eligible in their Quality Account. As part of *'Everyone Counts Planning for patients 2013/14'* the national audit requirements also include the ten specialties that will have consultant level data produced against them for clinical outcomes including survival rates.

### **Priority 1 - National Audits including HQIP Quality Account, NCAPOP, Everyone Counts**

**Key:**

**Yellow** = audits not on the previous year's list (2012-13)

**Blue** = audits which may or may not collect data during the year (2013/14)

**Red** – not taking place in year 2013/2014 (HQIP confirmed)

**Grey** = not applicable to Trust

## 1.1 Quality Accounts

	National Clinical Audits	Acronym	BUD	HQIP Quality Account	National Clinical Audit and Patient Outcomes Programme (NCAPOP)*	Anticipated start date	Participating	Clinical Director / Clinical lead	Subscription cost	
1.	Acute coronary syndrome or Acute myocardial infarction	MINAP	EC&M	Yes	Yes	Continuous	Yes	Dr R Moore	(subscription funded from April 2012)	
2.	Adult cardiac surgery audit	ACS	N/A	Yes	Yes	N/A				
3.	Adult community acquired pneumonia		EC&M	Yes	No		No longer collecting data in 2013/14			
4.	Adult critical care (Case Mix Programme)	ICNARC CMP	ES&EC	Yes	No	Continuous	Yes	Dr C Flucker – CIC Dr M Holliday – WCH		
5.	Bowel cancer	NBOCAP	ES&EC	Yes	Yes	Continuous	Yes	Mr F Hinson – CIC	(subscription funded from April 2012)	
6.	Bronchiectasis a) Adult  b) Paediatric		EC&M	Yes	No	01/10/2013	a)No longer collecting data in 2013/14 b)Oct-Nov13	Dr P Whitehead		
7.	Cardiac arrhythmia	HRM	EC&M	Yes	Yes	Continuous	Yes	Dr R Moore		
8.	Chronic kidney disease in primary care		N/A	Yes	Yes	N/A				
9.	Chronic Obstructive Pulmonary Disease	COPD	EC&M	Yes	Yes	TBC	Yes	Dr P Plant		
10.	Congenital heart disease (Paediatric cardiac surgery)	CHD	N/A	Yes	Yes	N/A				
11.	Coronary angioplasty		EC&M	Yes	Yes	Continuous	Yes	Dr R Moore	(subscription funded from April 2012)	
12.	National Diabetes Audit (NDA), including: patient experience of diabetes care diabetes care in pregnancy diabetes foot care	ANDA	EC&M	Yes	Yes	01/08/2013	Yes	Dr A Hassan		

	National Clinical Audits	Acronym	BUD	HQIP Quality Account	National Clinical Audit and Patient Outcomes Programme (NCAPOP)*	Anticipated start date	Participating	Clinical Director / Clinical lead	Sub scription cost
13.	Diabetes (Paediatric)	PNDA	Paediatric	Yes	Yes	01/06/2013	Yes	Dr P Whitehead	
14.	Elective surgery (National PROMs Programme)		ES&EC	Yes	No	Continuous	Yes	Mr M Walker/ Jean Addison	
15.	Emergency use of oxygen		EC&M	Yes	No	01/08/2013	Yes	Dr D Burke	
16.	Epilepsy 12 audit (Childhood Epilepsy)		Paediatric	Yes	Yes	Continuous	Yes	Dr P Whitehead	
17.	Falls and Fragility Fractures Audit Programme, includes National Hip Fracture Database, Anaesthetic Sprint Audit Project (ASAP)	FFFAP	ES&EC	Yes	Yes	Continuous 01/05/2013	Yes	Mr M Orr - CIC Mr P Armstrong - WCH  Dr S Kathawaroo	
18.	Head and neck oncology	DAHNO	ES&EC	Yes	Yes	Continuous	Yes	Mr G Putnam	(subscription funded from April 2012)
19.	Heart failure	HF	EC&M	Yes	Yes	Continuous	Yes	Dr R Moore	(subscription funded from April 2012)
20.	Inflammatory bowel disease	IBD	EC&M	Yes	yes	Continuous	Yes	Mr F C Hinson	
21.	Lung cancer	NLCA	EC&M	Yes	Yes	Continuous	Yes	Dr P Plant	(subscription funded from April 2012)
22.	Moderate or severe asthma in children (care provided in emergency departments)		EC&M	Yes	No	01/08/2013	Yes	Dr P Weaving	
23.	National audit of dementia audit	NAD	EC&M	Yes	Yes	01/01/2014	Yes	Dr Y Jagatsinh	
24.	National audit of schizophrenia	NAS	N/A	Yes	Yes	N/A			
25.	National Audit of Seizure Management (NASH)	NASH	EC&M / Paediatric	Yes	No	01/06/2013	Yes	Dr D Lee	
26.	National Cardiac Arrest Audit	NCAA	EC&M	Yes	No	Continuous	Yes	Dr R Moore	
27.	National comparative audit of blood transfusion		Clinical Support	Yes	No	01/04/2013 01/09/2013	Yes	Dr F Young	

	National Clinical Audits	Acronym	BUD	HQIP Quality Account	National Clinical Audit and Patient Outcomes Programme (NCAPOP)*	Anticipated start date	Participating	Clinical Director / Clinical lead	Subscription cost
28.	National emergency laparotomy audit: Organisational audit Patient audit	NELA	ES&EC	Yes	Yes	01/06/2013 01/12/2013	Yes	Dr R O'Dowd – CIC Dr F Graham – W CH	
29.	National Joint Registry	NJR	ES&EC	Yes	Yes	Continuous	Yes	Mr M Orr – CIC Mr P Armstrong – WCH	
30.	National Vascular Registry, including CIA and elements of NVD	NVR	ES&EC	Yes	Yes	Continuous	Yes	Mr T Joseph - CIC	
31.	Neonatal intensive and special care	NNAP	Paediatric	Yes	Yes	Continuous	Yes	Dr P Whitehead	(subscription funded from April 2012)
32.	Non-invasive ventilation - adults		EC&M	Yes	No		No longer collecting data in 2013/14	Clinical Director Critical Care	
33.	Oesophago-gastric cancer	NAOGC	ES&EC	Yes	Yes	Continuous	Yes	Mr J Wayman / Dr J Nicoll	
34.	Ophthalmology		ES&EC	Yes	Yes	TBC	Yes	Mr G Ainsworth	
35.	Paediatric asthma		Paediatric	Yes	No	01/11/2013	Yes	Dr P Whitehead	
36.	Paediatric intensive care	PICANet	Paediatric	Yes	Yes	N/A			
37.	Paracetamol Overdose (care provided in emergency departments)		EC&M	Yes	No	01/08/2013	Yes	Dr P Weaving	
38.	Prescribing Observatory for Mental Health (POMH-UK)  (Prescribing in mental health services)	POMH-UK	N/A	Yes	No	N/A			
39.	Prostate cancer		ES&EC	Yes	Yes	TBC	Yes	Mr N Umez-Eronini	
40.	Pulmonary hypertension		N/A	Yes	No	N/A			
41.	Renal replacement therapy (Renal Registry)		EC&M	Yes	No	01/06/2013 01/09/2013 01/12/2013 01/03/2014	Yes	Dr M Boxall	



	National Clinical Audits	Acronym	BUD	HQIP Quality Account	National Clinical Audit and Patient Outcomes Programme (NCAPOP)*	Anticipated start date	Participating	Clinical Director / Clinical lead	Sub scription cost
42.	Rheumatoid and early inflammatory arthritis		EC&M	Yes	Yes	TBC	Yes	Dr A Hassan	
43.	Sentinel Stroke National Audit Programme (SSNAP), includes SINAP	SSNAP	EC&M	Yes	Yes	Continuous	Yes	Dr Y Jagatsinh	
44.	Severe sepsis & septic shock		EC&M	Yes	No	01/08/2013	Yes	Dr J Struman	
45.	Severe trauma (Trauma Audit & Research Network)	TARN	EC&M	Yes	No	Continuous	Yes	Mr P Armstrong	
46.	Specialist rehabilitation for patients with complex needs		EC&M	Yes	Yes	TBC	Yes	Dr Y Jagatsinh	

\*The NHS standard contracts for acute hospital, mental health, community and ambulance services set a requirement that provider organisations shall participate in appropriate national clinical audits that are part of the National Clinical Audit and Patient Outcome Programme (NCAPOP).

## 1.2 Clinical outcome review programmes

	National Clinical Audits	Acronym	BUD	Quality Accounts	National Clinical Audit and Patient Outcomes Programme (NCAPOP)*	Clinical Lead	Anticipated start date
47.	National review of asthma deaths	NRAD	EC&M	Yes	No	Dr D Lee	April 2013
48.	Child health programme	CHR-UK	Paediatric	Yes	Yes	Dr P Whitehead	
49.	Maternal, infant and newborn clinical outcome review programme	MBRRACE-UK	Paediatric	Yes	Yes	Dr P Whitehead	Continuous
50.	Medical and Surgical programme: National Confidential Enquiry into Patient Outcome and Death: <b>Tracheostomy</b> <b>Lower limb amputation</b>	NCEPOD	Acute	Yes	Yes	Mrs F Nixon	April 2013
51.	Mental Health programme: National Confidential Inquiry into Suicide and Homicide for people with Mental Illness (NCISH)	NCISH	NA	Yes	Yes		N/A
	<b>Total</b>	<b>51</b>					
	<b>Total not applicable</b>	<b>8</b>					
	<b>Total eligible</b>	<b>43</b>					
	<b>Total participating</b>						
	<b>Total TBC</b>						

### 1.3 HQIP Everyone Counts – Planning for patients: More transparency

	Title	BU	CD	HQIP Quality Accounts
1.	Colorectal Surgery / Bowel cancer	ES&EC	Mr J Wayman	Yes
2.	Interventional Cardiology / Coronary angioplasty	EC&M	Dr R Moore	Yes
3.	Head & neck Surgery / Head and neck oncology	ES&EC	Mrs F Nixon	Yes
4.	Orthopaedic Surgery / National Joint Registry	ES&EC	Mr M Dawson	Yes
5.	Vascular Surgery / National Vascular Registry	ES&EC	Mr J Wayman	Yes
6.	Upper Gastro Intestinal Surgery / Oesophago-gastric cancer	ES&EC	Mr J Wayman	Yes
7.	Thyroid and Endocrine / Thyroid and endocrine surgery (BAETS national audit)	ES&EC	Mr J Wayman	No
8.	Urological surgery (BAUS Cancer Registry)	ES&EC	Mr J Wayman	No

## 1.4 National Staff and Patient Surveys

Survey	Start date	Lead
National Staff Survey	September 2013	Damian Gallagher
National Inpatient Survey		Chris Platton
Maternity Services	March 2013	Anne Musgrave

## 1.5 Commissioning for Quality and Innovation (CQUIN)

The CQUIN framework consists of national and local priorities which will be audited throughout the year in accordance with commissioning and contracting requirements and will be reported to the Trust Board each quarter.

	Title	Specialty	Business Unit	Clinical lead	Date
<b>NATIONAL</b>					
1.	Friends and Family	All	Organisation wide	Chris Platton (Director of Nursing)	
2.	Dementia Screening	All	Organisation wide	Chris Platton (Director of Nursing)	
3.	NHS Safety Thermometer	All	Organisation wide	Chris Platton (Director of Nursing)	
4.	VTE Prevention	All	Organisation wide	Mr M Walker (Medical Director)	
<b>LOCAL</b>					
1	Enhanced Acute Stroke Care Pathway	Medicine	EC&M	Dr P Davies	
2	Enhanced Paediatric Asthma Pathway	Paediatric	Paediatric	Dr D Lee	
3	Implementation of 'Closer to Home' for High Risk Surgery - all patients will have opportunity for standardised emergency care	Surgery	ES&EC	Mr P Armstrong	
4	Enhanced Lung Cancer Pathway - referral to tertiary centre by day 45	Medicine	EC&M	Dr D Burke	
5	Enhanced pathway for GI Bleed	Medicine	EC&M	Kathy Barnes	
6	Implement Ambulatory Care	Emergency care	EC&M	Dr P Weaving	

## 1.6 Other National Priority Audits

	Title	Specialty	Business Unit	Start date	Participating	Trust-wide Lead
Joint Advisory Group (JAG) for GI Endoscopy	Global Rating Scale	Gastroenterology	EC&M	Continuous	Yes	Dr D Burke
Public Health England (PHE)	Mandatory MRSA and MSSA bacteraemia surveillance scheme	Pathology	Clinical Support	Continuous	Yes	Dr Hampson
National clinical audit (not commissioned by HQIP)	National Audit of Cardiac Rehabilitation (NACR)	Cardiology	EC&M	Continuous	Yes	Judith Brannen
	Occupational Health – National Audit of Back Pain Management: round 3, RCP.	Corporate	Management	Continuous	Yes	Dawn Mahone / Jaqui Roberts
	National Audit of Intermediate Care	Medicine	EC&M	Continuous	01/05/2013	Dr J George

## Priority 2 – Trust Priority Audits

### 2.1 Implementation of our Safety and Quality Priorities

Key audits will be undertaken as part of our Trust priority audits, which will provide assurance on the delivery of our Safety and Quality priorities for 2013/14:

Safety & Quality Priority	Audit Topic	Specialty	Lead	Start Date & Frequency
Reducing our Mortality rate to the norm and our harm rate by 50% by 2014	Weekly Mortality Trigger Audits	All	Clinical Directors and Business Unit Directors	01/04/2013 / Weekly
	Audit of patient harm (IHI)	All	Dr C Graham	01/04/2013 / Monthly (40 cases per month)
	Early Warning Score	Adult and Paediatrics	Dr J Sturman (Adult) Dr D Lee (Paediatrics)	01/04/2013 01/04/2013
	Sepsis Care Bundle	All	Dr J Sturman	April 2013
	Pneumonia Care Bundle	All	Dr M Hewson	TBC
Zero Tolerance on hospital associated infections	Clostridium Difficile (including antimicrobial)	All	Dr C Graham	01/04/2013
	Surgical Site Infection	Surgery	Mr P Armstrong	Q2 2013/14
	Mattress Audit	All	Chris Platton	April 2013
	Saving Lives	All	Chris Platton	April 2013
	Hand Hygiene	All	Dr C Graham	April 2013
Harm Free Care	VTE	All	Mr M Walker	01/04/2013 (ongoing audit)
	Inpatient Falls	All	Dr J George	01/04/2013(ongoing audit)
	Pressure Care	All	Fiona Kelly	April 2013
	Percentage of high risk patients who have completed dementia assessment	All	Lesley Carruthers	April 2013

Safety & Quality Priority	Audit Topic	Specialty	Lead	Start Date & Frequency
Elderly Care	Percentage of Elderly Care Patients who have received comprehensive geriatric assessment	Elderly Care	Dr J George	Quarter 1 2013/14
Improve clinical information	Improved content and structure of medical records	All	Dr J Rushmer	April 2013
Better communication with patients	Patient discharge	All	Dr J Rushmer	April 2013



## 2.2 Advancing Quality

	Title	Specialty	Business Unit	Start date	Participating	Trust-wide Clinical Lead
Advancing Quality	Hip and Knee replacement	Orthopaedics	ES&EC	Continuous	Yes	Miss C Young
	Community Acquired Pneumonia	Respiratory	EC&M	Continuous	Yes	Dr M Hewson
	Acute Myocardial Infarction	Cardiology	EC&M	Continuous	Yes	Dr R Moore
	Heart Failure	Cardiology	EC&M	Continuous	Yes	Dr R Moore
	Stroke	Stroke	EC&M	Continuous	Yes	Dr P Davies

## 2.3 Nursing Care Continuous Audits

The Trusts AuditR electronic system is used to ensure continuous nursing audits are performed on the basic standards of nursing care.

Audit Topic	CQC Outcome	Accountable	Date
Clinical Indicators (including Neonatal)	CQC Outcome 16	Chris Platton (Director of Nursing)	April 2013
Discharge audit	CQC Outcome 04	Chris Platton (Director of Nursing)	April 2013
Essence of Care – Communication	CQC Outcome 01 and 04	Chris Platton (Director of Nursing)	April 2013
Essence of Care – Food and Nutrition	CQC Outcome 05	Chris Platton (Director of Nursing)	April 2013
Essence of Care – Personal and Oral Hygiene	CQC Outcome 04	Chris Platton (Director of Nursing)	April 2013
Essence of Care – Pressure Ulcers	CQC Outcome 04	Chris Platton (Director of Nursing)	April 2013
Essence of Care – Privacy and Dignity	CQC Outcome 01	Chris Platton (Director of Nursing)	April 2013
Monthly Ward Health Check	CQC Outcome 16	Chris Platton (Director of Nursing)	April 2013
Nursing Records	CQC Outcome 16	Chris Platton (Director of Nursing)	April 2013
Privacy and Dignity	CQC Outcome 01	Chris Platton (Director of Nursing)	April 2013
Productive Ward	CQC Outcome 16	Chris Platton (Director of Nursing)	April 2013

## 2.4 Risk Management and learning from Serious Untoward Incidents and Serious Complaints

	Title	Specialty	Business Unit	Lead Director	Date
Learning from Serious Untoward Incidents	Audit the implementation of recommendations of SUIs	All	All	Clinical Lead for SUI	April 2013
Learning from Serious Complaints	Audit the implementation of actions arising from serious complaints	All	All	Clinical Lead for Complaints	April 2013
National Patient Safety Alerts (NPSA)	NPSA/2009/RRR006 Oxygen Safety in Hospitals	To be agreed at CPG on 19/04/2013			
	NPSA/2010/RRR016 Laparoscopic Surgery; Failure to Recognise Post-operative Deterioration	To be agreed at CPG on 19/04/2013			
	NPSA/2010/RRR009 Reducing Harm From Omitted and Delayed Medicines in Hospitals	To be agreed at CPG on 19/04/2013			
	NPSA/2011/PSA003 The Adult Patient's Passport to Safer Use of Insulin	To be agreed at CPG on 19/04/2013			
	NPSA/2009/RRR004 Preventing Delay to Follow-up of Patients with Glaucoma	To be agreed at CPG on 19/04/2013			
	NPSA/2012/RRR001: Harm from flushing of naso-gastric tubes before confirmation of placement / PSA05: Reducing the harm caused by misplaced naso-gastric feeding tubes	To be agreed at CPG on 19/04/2013			
Never Events	Mis-placed Nasogastric Tube – audit of recommendations	ITU WCH	EC&M	Clinical Lead for SUI	April 2013
	Incorrect Implant - audit of recommendations	Ophthalmology WCH	Surgery	Clinical Lead for SUI	April 2013
	Retained Guide wire - audit of recommendations	ITU CIC	EC&M	Clinical Lead for SUI	April 2013
	Retained object (gauze post-surgical operation) - audit of recommendations	Head & Neck CIC	EC&S	Clinical Lead for SUI	April 2013
	Foreign body identified following surgical procedure - audit of recommendations	General Surgery CIC	EC&S	Clinical Lead for SUI	April 2013

## 2.5 NHSLA, CQC and Health and Safety

	Title	Business Unit	Lead Director	Date
NHSLA	Record Keeping	All	Director of Governance	April 2013
NHSLA & CQC Outcome 02	Consent to Treatment	All	Medical Director	Quarter 1 2013/14
H&S	H&S – COSHH	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – COSHH – Contamination by Blood Borne Viruses	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Display Screen Equipment – Ergonomic and Environmental Issues	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Disposal of Waste – Legal Compliance	All	Director of Estates & Facilities	Quarter 1 2013/2013
H&S	H&S – Electrical Hazards	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Fire	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Hot Water	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Medical Devices	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Moving and Handling of Inanimate Loads	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Radiation	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Security – Lone Working Staff	All	Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Security – Premises and Personal Belongings	All	Director of Estates & Facilities	Quarter 1 2013/14

	Title	Business Unit	Lead Director	Date
H&S	H&S – Security - Violence and Aggression Towards Staff		Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Security – Neonates and Children		Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Slips, Trips and Falls		Director of Estates & Facilities	Quarter 1 2013/14
H&S	H&S – Use of Artificial Optical Radiation		Director of Estates & Facilities	Quarter 1 2013/14

## 2.6 Clinical Negligence Scheme for Trusts (CNST)

March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
Risk Management Strategy (Organisation) A.Musgrave/ M.Matar	Staffing Levels (Midwifery & Nursing Staff) M.Atkinson	Maternity Records M.Matar All staff	Incidents, Complaints & Claims A.Musgrave/ M.Matar	Staffing Levels (Anaesthetists & Assistants) Lead Anaes	Staffing Levels (Obstetricians) M.Matar	Induction of Labour A.McAdam/ C.Bird	Use of Oxytocin A.Scott/ R.Dawson	Severe Pre-Eclampsia L.Allen	Multiple Pregnancy & Birth L.Leighton
Risk Management Strategy (Leadership) A.Musgrave/ M.Matar	Eclampsia Core risk group	Training Needs Analysis B.Tuer	Intermittent Auscultation C.Page/ J.Carruthers	Vaginal Birth after Caesarean Section H.Crabbe/ K.Cross	Labour Ward Staffing M.Matar/ A.Musgrave/ A.James	Clinical Risk Assessment (Antenatal) Community	Severely Ill Women Core Group High Dependency Care Core Group	Venous Thromboembolism J.Rowles/ K.Halliman/ C.Griffiths	Obesity R.McCarthy/ H.Findlay
Caesarean Section M.Atkinson/ A.Dockeray	Maternal Antenatal Screening Tests G.Quigley	Skills and Drills B.Tuer/ E.Forsyth	Continuous Electronic Fetal Monitoring C.Page/ J.Carruthers	Perineal Trauma L.Leighton	Operative Vaginal Delivery G.Bruce/ J.Nicolson	Mental Health F.Jewitt/ J.Cregan	Postpartum Haemorrhage D.Southward/ D.Evans	Patient Information A.Lloyd	Referral When a Fetal Abnormality is Detected A.Bell
Shoulder Dystocia L.Leighton	Maternal Transfer by Ambulance R.Fulton	Care of Women in Labour E.Stanwell/ S.Cooper Newborn Feeding H.Ferris	Fetal Blood Sampling C.Page/ J.Carruthers	Booking Appointments A.Bell/ E.Hodgson	Clinical Risk Assessment (Labour) K.Cumming/ K.Lyons	Postnatal Care F.Bendle/ J.Lewis	Non-Obstetric Emergency Care Ravi	Immediate Care of the Newborn L.Allen/ A.Winter/ N.Ghafoor	Newborn Life Support A.Ewing/ B.Tuer
Admission to Neonatal Unit L.Leighton		Recovery Theatres?	Pre-Existing Diabetes A.Bell/ Ravi	Missed Appointments A.Bell/ E.Hodgson	Bladder Care B.Johnston/ T.Wilson		Support for Parent(s) J.Baxter/ L.Lockhart		Examination of the Newborn Community- TBC
			Handover of Care (Onsite) S.Drinkald/ C.Bird						

## Priority 3 - Business Unit Priority Audits including external guidance compliance audits, i.e. NICE, SIGN

The goal is for each Clinical Business Unit to audit compliance against relevant NICE guidelines within 2013/14 period (excluding VTE identified above CG92). A register of applicable NICE guidelines to NCUH Trust is being finalised and shared with the clinical teams. Each Business Unit/speciality has identified relevant guidelines (CG'S), technical appraisals (TA's) and Interventional Procedure Guidelines (IPG'S). Alternatives to NICE guidelines include guidelines issued by SIGN and speciality-specific Royal Colleges.

This initial list is based on the existing Trust Wide system for NICE and is subject to a review by Dr Derek Thomson, Medical Director at Northumbria NHS Foundation Trust, and Ramona Duguid, Director of Governance. This review will be launched at CPG on 19/04/2013 and is to be concluded by the end of quarter one, which will result in the Trust's position on compliance with the policy improving as well as the identification of high risk areas of guidance which will be audited in 2013/14 as part of this plan.

### 3.1 NICE

NICE guideline	Name of guideline	Specialty	Business Unit	Release date	Clinical Lead WCH	Clinical Lead CIC
CG131	Colorectal cancer	General Surgery	EC&S	01/11/2011	Mr E Jehangir	
TA1	Wisdom teeth - removal	General Surgery	EC&S	01/03/2000		
CG56	Head injury	A&E	EC&M	01/09/2007	Dr M Greene	
CG94	Unstable angina and NSTEMI	Cardiology	EC&M	01/03/2010	Dr S Abbas	
TA130	Rheumatoid arthritis	Rheumatology	EC&M	01/10/2007		Dr A I Hassan
TA161	Alendronate, Etidronate, Risedronate, Raloxifene, Strontium, Ranelate and Teriparatide for the secondary prevention of osteoporotic fragility fractures in post menopausal women	Elderly Care/Stroke	EC&M	01/11/2008		Dr J Orgee
CG84	Diarrhoea and vomiting in children under 5	Paediatric	Paediatric	01/04/2009	Dr M Ben-Hamida	
CG129	Multiple pregnancy	O&G	EC&S	01/09/2011	Dr N Munjuluri	
CG70	Induction of labour	O&G	EC&S	01/07/2008	Ms N Munjuluri	
CG149	Antibiotics for early onset neonatal infection	O&G	EC&S	01/08/2012	Dr G Jones	

NICE guideline	Name of guideline	Specialty	Business Unit	Release date	Clinical Lead WCH	Clinical Lead CIC
CG95	Chest pain of recent onset					
CG127	Hypertension					
CG137	Epilepsy					
CG144	VTE					
CG147	Lower Limb Peripheral Arterial Disease					
CG134	Anaphylaxis					
QS 24	Nutrition support in adults					
QS 13	End of life care for adults					



**Appendix 1 - Audit Calendar for Case notes, CNST and VTE**

**Record Keeping - Case notes to be requested for patients covering CIC and WCH if service provided at both sites**

Business Unit	Specialty	Month	Trust-wide Clinical Lead
ES&EC	Gynaecology	April - July	Mr Eldred / Dr Ravimohan
ES&EC	Obstetric	April - July	Anna McSkeane
ES&EC	Orthodontics	April - July	Sally Walker
ES&EC	Vascular	April - July	Mr T Ojimba
ES&EC	Obstetric	August - November	Anna McSkeane
ES&EC	Obstetric	December - March	Anna McSkeane
Paediatric	Paediatric	April - July	Dr D Lee
Paediatric - SCBU	Paediatric - SCBU	April - July	Dr D Lee
EC&M	Renal – carried forward from 2012/13	April-July	Dr M Boxall
EC&M	Gastroenterology	August-November	Kathy Barnes
EC&M	Respiratory	December-March	Dr P Plant

<b>Glossary</b>	
EC&M	Emergency Care & Medicine Business Unit
ES&EC	Emergency Surgical & Elective Care Business Unit
Paediatric	Paediatric Business Unit
Clinical Support	Clinical Support Services Business Unit