

**Report to Meeting of the Trust Board of Directors held in Public**

**Date of Meeting: 23 July 2013**

**Enclosure: 4**

<b>Title of Report</b>	Independent Assessment of CQC Compliance
<b>Author</b>	Birju Rana, Interim Director of Governance and Performance–NHCT Neil Gibson, Head of Quality and Assurance - NHCT
<b>Executive Lead</b>	Ann Farrar, Interim Chief Executive
<b>Responsible sub-committee</b>	Governance Committee
<b>Date of paper</b>	18 July 2013
<b>Executive Summary</b>	<p>As part of the Keogh Action Plan an independent assessment of the documentation to support compliance with the CQC essential safety and quality outcomes was required. This report provides an independent assessment of current compliance with the CQC Essential Safety and Quality standards. The assessment involved a desktop review of existing provider compliance assessments (PCA) and outcome measures followed by meetings with each nominated lead over two days (2nd and 18th July),</p> <p>The review specifically excluded outcomes 10 ‘Safety and Suitability of Premises’ and outcome 11 ‘Safety, availability and suitability of equipment’ which are both already subject to a full independent review.</p> <p>The overall assessment of the remaining 14 CQC standards was that only 1 (outcome 5 ‘Meeting nutritional needs’) was compliant.</p>
<b>Assurance Framework reference</b>	Appropriate action plans to address gaps in systems and documentation is being developed to deliver compliance by the end of Quarter 3.
<b>Level of assurance</b>	Limited
<b>Recommended changes to risk rating (if applicable)</b>	High
<b>Legal implications/regulatory requirements</b>	Keogh, CQC Outcomes
<b>Actions required by the Board</b>	The Board is requested to approve this report. <span style="float: right;">1</span>

