

TRUST BOARD		
Date of Meeting: 25/06/2013	Agenda Item No: 6.8	Enclosure: 11
Intended Outcome:		
For noting	For information	For decision ✓
Title of Report: Trust Development Authority Monthly Self-Certification Returns – May 2013		
Aims: To present the May 2013 TDA Self-Certification return for approval.		
Executive Summary: <p>The TDA are responsible for overseeing performance on all aspects of delivering high quality care and holding NHS Trust Boards to account for the delivery of their agreed annual plan. As part of this new process the TDA have updated the requirements of the previous monthly self certification. The May self certification returns are attached at Appendix 1 and 2.</p> <p>The Board need to take account of the following:-</p> <p>Appendix 1 – Board Governance Statements Return Included in the May return is the partial compliance with CQC outcomes 4,13 and 21. This is in addition to outcomes 10,11 and 16 which were declared in April 2013.</p> <p>Appendix 2 - Monitor Compliance Return Fully compliant</p> <p>A meeting with the TDA and key stakeholders is planned for Friday 21st June 2013.</p>		
Specific implications and links to the Trust's Strategic Aims:		
We deliver excellent clinical outcomes along closely integrated pathways		✓
We provide excellent patient-centred services		✓
We deliver excellence in safety, quality and regulatory compliance		✓
We deliver efficient care and work within budgets		✓
Recommendations: The Trust Board is asked to approve the returns. .		
Prepared by: Corinne Siddall Executive Director of Operations		Presented by: Corinne Siddall Executive Director of Operations

APPENDIX 1

TDA MONTHLY SELF-CERTIFICATION RETURN

BOARD GOVERNANCE STATEMENTS – MAY 2013

Select Your Trust: North Cumbria University Hospitals NHS Trust

Submission Date: 17/06/2013

Reporting Year: 2013/14

Select the Month: May

1. CLINICAL QUALITY

Indicate compliance.: Yes

2. CLINICAL QUALITY

Indicate compliance.: No

Timescale for compliance: 30/09/2013

RESPONSE:

Comment where non-compliant or at risk of non-compliance: Six outcomes currently partially compliant:

Outcome 4 - Full compliance forecasted Q3 2013/14
Outcome 10 - Full compliance forecasted Q2 2013/14
Outcome 11 - Full compliance forecasted Q2 2013/14
Outcome 13 - Full compliance forecasted Q3 2013/14
Outcome 16 - Full compliance forecasted Q1 2013/14
Outcome 21 - Full compliance forecasted Q2 2013/14

3. CLINICAL QUALITY

Indicate compliance.: Yes

4. FINANCE

Indicate compliance.: Yes

5. GOVERNANCE

Indicate compliance.: Yes

6. GOVERNANCE

Indicate compliance.: Yes

7. GOVERNANCE

Indicate compliance.: Yes

8. GOVERNANCE

Indicate compliance.: Yes

9. GOVERNANCE

Indicate compliance.: Yes

10. GOVERNANCE

Indicate compliance.: No

Timescale for compliance: 30/09/2013

RESPONSE:

Comment where non-compliant or at risk of non-compliance: Compliant with 18 week RTT standards by specialty by 30/09/2013.

11. GOVERNANCE

Indicate compliance.: Yes

12. GOVERNANCE

Indicate compliance.: Yes

13. GOVERNANCE

Indicate compliance.: Yes

14. GOVERNANCE

Indicate compliance.: No

Timescale for compliance: 31/03/2014

RESPONSE:

Comment where non-compliant or at risk of non-compliance: 12 month Leadership Development OD Programme in place since Sept 2012 for Senior Managers and Directors.

APPENDIX 2

TDA MONTHLY SELF-CERTIFICATION RETURN

MONITOR LICENCE COMPLIANCE STATEMENTS – MAY 2013

Select Your Trust: North Cumbria University Hospitals NHS Trust

Submission Date: 17/06/2013

Reporting Year: 2013/14

Select the Month: May

1. Condition G4

Fit and proper persons as Governors and Directors.: Yes

2. Condition G5

Having regard to monitor Guidance.: Yes

3. Condition G7

Registration with the Care Quality Commission.: Yes

4. Condition G8

Patient eligibility and selection criteria.: Yes

5. Condition P1

Recording of information.: Yes

6. Condition P2

Provision of information.: Yes

7. Condition P3

Assurance report on submissions to Monitor.: Yes

8. Condition P4

Compliance with the National Tariff.: Yes

9. Condition P5

Constructive engagement concerning local tariff modifications.: Yes

10. Condition C1

The right of patients to make choices.: Yes

11. Condition C2

Competition oversight.: Yes

12. Condition IC1

Provision of integrated care.: Yes