

Acquisition of North Cumbria University Hospitals NHS Trust by Northumbria Healthcare NHS Foundation Trust

Draft Convergence Criteria March 2013

Priority	Convergence Requirement	Responsibility	Associated Work-stream	When	Progress
1. Governance & Leadership	Completed review of NCUH Board transitional requirements and changes agreed with TDA	Ian Gordon	N/A	March 2013	March completed
	Board approved extended IMA in place	Ian Gordon, Brian Flood, Jim Mackey	N/A	March 2013	April completed
	Interim management arrangements in place as required in line with above	Ian Gordon, Brian Flood, Jim Mackey, Ann Farrar	N/A	May 2013	April completed
	Alignment of Board Subcommittee structures, parallel working, with common Terms of Reference	Ian Gordon, Ann Farrar, Brian Flood, Jim Mackey	N/A	July 2013	Partial compliance
	Aligned and standardised Board performance reporting and management mechanisms, including complaints, serious incidents and quality metrics	Corinne Siddall, Ramona Duguid, Birju Rana	Finance, Governance & Performance	July 2013	Partial compliance
	Ensure that the communications protocol is understood by Boards	Ann Farrar, Jim Mackey	Communication, Engagement & Constitution	April 2013	April completed
2. Benefits realisation	Board approval of benefits and KPIs of pre integration proposals for: <ul style="list-style-type: none"> • Corporate Services • Clinical/Operational Services • Clinical Support Services • Child Health Services • Estates 	Ann Farrar, Jim Mackey	As appropriate	June 2013	May completed
	Pre-acquisition elements of Integration Plans fully implemented			September 2013	Partial compliance

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3. Quality, Service Performance & Quality Governance	Minimum amber-green performance for the combined organisation (Monitor governance risk rating Compliance Score of 1.9)	Corinne Siddall	Governance & Quality	September 2013	Partial compliance
	Fully CQC compliant as reported to the Board. Amended in April, and again in May, to confirm that a revised timetable will be needed to meet recent CQC concerns.			March 2014	Partial compliance
	Board and TDA approved action plan with full compliance with any Trust pre-acquisition requirements relating to Keogh Quality Care (Mortality); NHCFT kept fully informed of all 3 rd party issues	Mike Walker, Ann Farrar, Birju Rana		To be agreed	
	Board approved action plan in place for RTT compliance (90%) at aggregate level from July (Q2) 2013 and at specialty level from 1 October (Q3) with no 12 month waits	Corinne Siddall		July and October 2013	Partial compliance
	Board approved action plan for sustainable A&E performance standard delivery for both Divisions. Particularly addressing work to ensure target is met during Q3 and Q4.	Corinne Siddall, Birju Rana, Ann Wright		June 2013	Partial compliance
	Board approved action plan for part-year target HCAI compliance - C Difficile in line with 2013/14 Operating Plan and zero tolerance for MRSA	Clive Graham, Ann Farrar, Chris Platton		Sept 2013	Partial compliance
	Board approved action plan in place for demonstrable improvement in patient experience - One minute of your time for patients every 2 months & patient	Chris Platton		April 2013	March completed

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	perspective real time date and Friends and Family Test				
	Board approved action plan in place for demonstrable improvement in staff satisfaction - One minute of your time for staff every 2 months	Damian Gallagher		June 2013	May completed
	Elimination of mixed sex accommodation breaches	Chris Platton	Finance, Performance & Governance	April 2013	April completed
	Quality Governance Framework independently assessed score of <3.5. Needs revised to reflect the KPMG report yet to be published	Ann Farrar, Ramona Duguid	Governance & Quality	July 2013	To be agreed
4. Financial Delivery 12/13	NCUH Year End financial position agreed with TDA and PCT/CCG.	Jim Mackey, Ann Farrar Paul Dunn, Steve Shanahan	Finance, Performance & Governance	March 2013	April completed
5. Finance Delivery 13/14	Board approved plan to improve underlying run rate in place in line with the delivery of CIPs and agreed level of external support at the point of acquisition	Jim Mackey, Ann Farrar Paul Dunn, Steve Shanahan	Finance, Performance & Governance	September 2013	Partial compliance
	Board approved risk assessed 3 year financial plans from point of acquisition with minimum FRR4 for both Divisions as separate entities, and new Trust approved by Monitor	Paul Dunn, Steve Shanahan		September 2013	Partial compliance
	Standardised process for cost reduction planning fully implemented with aligned reporting systems to Board/Subcommittees	Paul Dunn, Steve Shanahan		June 2013	April completed

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6. Estates	Board approved plan for WCH bed reduction agreed with commissioners in line with whole system Business Case	Les Morgan, Ann Farrar, Mike Walker, Nigel Maguire	Clinical & operational	June 2013	Partial compliance
7. Governors Body & Constitution	Board approved council of governors and membership arrangements in place	Ian Gordon, Brian Flood , and Claire Riley	Communication, Engagement & Constitution	June 2013	Partial compliance